

**SECTION 5.1(a)(1-12)**

The following are the responses of Hollywood Casino Joliet to Section 5.1(a) (1-12) of the Riverboat Gambling Act:

1. The name, business address and business telephone number of the licensee:
  - A. Name: *HC Joliet, LLC*
  - B. Business address: *777 Hollywood Blvd., Joliet, Illinois 60436*
  - C. Business telephone number: *(815) 744-9400*
  
2. The identification of the state of incorporation, the corporate officers and the identity of all shareholders of the licensee:
  - A. State of Incorporation: *Illinois*
  - B. Corporate officers:  
*Peter M. Carlino - Chairman, Board of Directors*  
*Timothy Wilmott - President, Chief Executive Officer*  
*Jay Snowden – Executive Vice President, Chief Operating Officer*  
*Bill Hayles - V.P./General Manager*
  - C. Identity of all shareholders:  
*Penn National Gaming, Inc.*
  
3. The identification of any business, including, if applicable, the state of incorporation or registration, in which the licensee has an equity interest of more than 5%.  
  
*None.*
  
4. Whether the licensee has been indicted, convicted, pleaded guilty or nolo contendere or forfeited bail concerning any criminal offense under the laws of any jurisdiction, either felony or misdemeanor (except for traffic violations), including the date, the name and location of the court, arresting agency and prosecuting agency, the case number, the offense, the disposition and the location and length of incarceration.  
  
*None.*
  
5. Whether the licensee has had any license or certificate issued by a licensing authority in Illinois or any other jurisdiction denied, restricted, suspended,

**SECTION 5.1(a)(1-12)**

revoked or not renewed and a statement describing the facts and circumstances concerning the denial, restriction, suspension, revocation or non-renewal, including the licensing authority, the date each such action was taken, and the reason for each such action.

*None.*

6. Whether the licensee has ever filed or had filed against it a proceeding in bankruptcy or has ever been involved in any formal process to adjust, defer, suspend or otherwise work out the payment of any debt including the date of filing, the name and location of the court, the case and number of the disposition.

*None.*

7. Whether the licensee has filed, or been served with a complaint or other notice filed with any public body, regarding the delinquency in the payment of, or a dispute over the filings concerning the payment of, any tax required under federal, State or local law, including the amount, type of tax, the taxing agency and time periods involved.

*The Illinois Department of Revenue completed an audit of sales tax payments made by Hollywood Casino Joliet and determined that additional sales tax for the period 10/1/13 through 12/31/15 was due. A late penalty of \$2,719 was assessed plus interest of \$535. Hollywood Casino Joliet has since remitted the additional sales tax, penalty, and interest.*

*Due to the late remittance of gaming taxes for gaming day June 29, 2016 the Illinois Gaming Board assessed Hollywood Casino Joliet a late filing penalty of \$1,504.59. Hollywood Casino Joliet has since remitted the penalty to the State.*

8. A statement listing the names and titles of all public officials or officers of any unit of government, and relatives of said public officials or officers who, directly or indirectly, own any financial interest in, have any beneficial interest in, are the creditors of or hold any debt instrument issued by, or hold or have any interest in any contractual or service relationship with, the licensee.

*To the best of LICENSEE's knowledge, no public officials or officers or employees of any unit of government, or relatives of said public officials, officers or employees, directly or indirectly, own any financial interest in, have any beneficial interest in, are the creditors of or hold any debt instrument issued by, or hold or have any interest in any contractual or service relationship with LICENSEE, any of its key persons, or any individual or business entity having an interest in the operation.*

**SECTION 5.1(a)(1-12)**

*To the extent that any said public officials, officers or employees or their relatives would have a financial interest in Penn National Gaming Company and not disclose this fact to Penn National, Penn National would not be aware of this interest, unless such person qualified as a Key Person under the definition of the Act.*

9. Whether the licensee has made, directly or indirectly, any political contribution, or any loans, donations or other payments, to any candidate or office holder, within 5 years from the date of filing the application, including the amount and the method of payment:

*See attached Exhibit 9.*

10. The name and business telephone number of the counsel representing the licensee in matters before the Board:

*Greenberg Traurig, LLP  
77 West Wacker Drive  
Suite 3100  
Chicago, IL 60601  
312-456-1011*

11. A description of the approved riverboat gaming operation, including (a) the type of boat, (b) home dock location, (c) expected economic benefit to the community, (d) anticipated or actual number of employees, (e) any statement from the licensee regarding compliance with federal and State affirmative action guidelines, (f) projected or actual admissions and (g) projected or actual adjusted gross gaming receipts:

- (a) *The riverboat gaming operation is located on a permanently moored barge of three levels that is approximately 340 feet long and 106 feet wide.*
- (b) *Licensee has its home dock on the Des Plaines River in Joliet, Illinois.*
- (c) *In 2016, the Licensee paid \$7,127,128 in gaming revenue to the City of Joliet which was used for city operations. Licensee contributed over \$94,625 in cash and in-kind donations to local charities and non-profit organizations. In 2016 Hollywood Casino contributed \$30,000 to the Joliet/Will County Center for Economic Development (CED). The CED acts as the main contact point, facilitator and information clearinghouse for any business looking to locate in the Joliet/Will county area. The CED actively markets the region to generate business leads and attract development dollars into the area. Hollywood's funding commitment to the CED is one of its single largest funding sources.*

**SECTION 5.1(a)(1-12)**

- (d) *Licensee has approximately 584 employees.*
- (e) *Licensee is in compliance with federal and State affirmative action guidelines.*
- (f) *Licensee had 1,063,931 patron admissions in 2016.*
- (g) *Licensee adjusted gross gaming receipts gaming (gaming revenue) totaled \$121,263,932 in 2016.*

12. A description of the product or service to be supplied by an applicant for a supplier's license.

*Not applicable.*

HOLLYWOOD CASINO JOLIET  
RESPONSES TO RIVERBOAT GAMBLING ACT  
SECTION 5.1(A)

Exhibit 9

HOLLYWOOD CASINO JOLIET  
2016 POLITICAL CONTRIBUTIONS

**Paid by penn National Gaming, Inc.**

<u>Name</u>	<u>Amount</u>
Anderson for Illinois	250.00
Biss for Illinois	250.00
Brandon Phelps for State Re	250.00
CITIZENS FOR CHRIS NYBO	250.00
Citizens for Christine Rado	250.00
CITIZENS FOR CONNELLY	250.00
Citizens for Dale A Righter	250.00
Citizens for Durkin	1,250.00
Citizens for Fred Crespo	250.00
Citizens for John Cullerton	250.00
CITIZENS FOR KAREN McCONNAU	1,250.00
Citizens for Linda Holmes	1,250.00
Citizens for Lou Lang	625.00
Citizens for Michael E. Has	500.00
Citizens for Pamela Althoff	250.00
Citizens for Pritchard	250.00
Citizens for Tony Munoz	1,250.00
CITIZENS TO ELECT ANDRE' TH	250.00
CITIZENS TO ELECT GRANT WEH	250.00
Citizens to Elect Ron Sanda	625.00
Committee to Elect Dan Burk	250.00
COMMITTEE TO ELECT DAVID HA	250.00
Committee to Elect Jennifer	1,250.00
Committee to Elect Keith Wh	250.00
Committee to Elect Sheri Je	250.00
Democratic Majority	1,250.00
Friends for Anna Moeller	250.00
Friends for Avery Bourne	250.00
FRIENDS FOR LARRY WALSH JR	1,250.00
Friends for Marty Moylan	250.00
FRIENDS FOR MULROE	250.00
FRIENDS OF ANDY MANAR	500.00
FRIENDS OF ARTHUR TURNER II	1,250.00
Friends of Don Harmon	250.00
FRIENDS OF ELGIE SIMS JR.	250.00
Friends of Jaime M. Andrade	250.00
FRIENDS OF JERRY COSTELLO I	250.00
Friends of Kwame Raoul	625.00
Friends of Linda Chapa LaVi	1,250.00
Friends of Mark Batinick	250.00
FRIENDS OF MELINDA BUSH	250.00
Friends of Michael Madigan	1,250.00
Friends of Napoleon Harris	250.00
FRIENDS OF NATALIE MANLEY	1,250.00
Friends of Robert "Bob" Rit	250.00
FRIENDS OF STEPHANIE KIFOWI	1,250.00
FRIENDS OF SUE REZIN	500.00
Friends of Terry Link	625.00
FRIENDS OF TOM CULLERTON	250.00
House Republican Organizati	1,250.00
McGUIRE FOR SENATE	1,250.00
Republican State Senate Cam	1,250.00
Senate Democratic Victory F	1,250.00
Syverson for Senate	250.00
Vote for Margo McDermed for	250.00
<b>TOTAL</b>	<b><u>32,000.00</u></b>

HOLLYWOOD CASINO JOLIET  
2015 POLITICAL CONTRIBUTIONS

**Paid by Penn National Gaming, Inc.**

<u>Name</u>	<u>Amount</u>
FRIENDS FOR LARRY WALSH JR	\$1,666.67
Committee to Elect Jennifer Bertino-Tarrant	\$1,666.67
Senate Democratic Victory Fund	\$1,666.67
House Republican Organization	\$1,666.67
Citizens for Linda Holmes	\$1,666.67
Friends of Michael Madigan	\$1,666.67
FRIENDS OF NATALIE MANLEY	\$1,666.67
McGUIRE FOR SENATE	\$1,666.67
Citizens for Durkin	\$1,666.67
Republican Senate Campaign Committee	\$1,666.66
FRIENDS OF STEPHANIE KIFOWIT	\$1,666.66
CITIZENS FOR KAREN McCONNAUGHAY	\$1,666.66
Democratic Majority	\$1,666.66
Friends of Linda Chapa LaVia	\$1,666.66
IGMOA PAC	\$1,566.66
Smiddy for State Representative	\$833.34
Friends of Terry Link	\$833.34
Citizens for Tony Munoz	\$833.33
Citizens to Elect Ron Sandack	\$833.33
FRIENDS OF SUE REZIN	\$666.67
FRIENDS OF ANDY MANAR	\$666.66
COMMITTEE TO ELECT DAVID HARRIS	\$333.34
Friends for Marty Moylan	\$333.34
Brandon Phelps for State Representative	\$333.34
Citizens for Dale A Righter	\$333.34
Syverson for Senate	\$333.34
Friends for Verschoore	\$333.34
Friends for Avery Bourne	\$333.34
CITIZENS FOR CONNELLY	\$333.34
Citizens for John Cullerton	\$333.34
Friends of John D Anthony	\$333.34
Citizens for Pamela Althoff	\$333.34
Committee to Elect Sheri Jesiel	\$333.34
Committee to Elect Keith Wheeler	\$333.33
Vote for Margo McDermed for Illinois House	\$333.33
Friends of Don Harmon	\$333.33
Friends of Napoleon Harris III	\$333.33
Citizens for Michael E. Hastings	\$333.33
Friends for Anna Moeller	\$333.33
FRIENDS FOR MULROE	\$333.33
CITIZENS FOR CHRIS NYBO	\$333.33

HOLLYWOOD CASINO JOLIET  
2015 POLITICAL CONTRIBUTIONS

Citizens for Pritchard	\$333.33
Citizens for Christine Radogno	\$333.33
Friends of Robert "Bob" Rita	\$333.33
FRIENDS OF ELGIE SIMS JR.	\$333.33
CITIZENS TO ELECT ANDRE' THAPEDI	\$333.33
FRIENDS OF ARTHUR TURNER II	\$333.33
CITIZENS TO ELECT GRANT WEHRLI	\$333.33
FRIENDS OF TOM CULLERTON	\$333.33
Committee to Elect Dan Burke	\$333.33
FRIENDS OF MELINDA BUSH	\$333.33
FRIENDS OF JERRY COSTELLO II	\$333.33
Citizens for Fred Crespo	\$333.33
Biss for Illinois	\$333.33
Friends of Mark Batinick	\$333.33
Anderson for Illinois	\$333.33
Friends of Jaime M. Andrade, Jr.	\$333.33
<b>Political Contributions 2015</b>	<b>\$41,566.66</b>

**Paid by Hollywood Casino Joliet**

<u>Name</u>	<u>Amount</u>
FRIENDS FOR LARRY WALSH JR	\$1,000.00
Committee to Elect Jennifer Bertino-Tarrant	\$1,000.00
<b>Political Contributions 2015</b>	<b>\$2,000.00</b>



**HC JOLIET, LLC**  
**2014 POLITICAL CONTRIBUTIONS**

Paid Directly By Licensee:

Senator Harris Banquet	\$ 500.00
Committee to Elect Tom Giarrante	\$ 500.00
Committee to Elect Larry Walsh	\$ 350.00
Committee to Elect Jennifer Bertino-Tarrant	\$ 800.00

Paid By Penn, Allocated To Licensee:

McGuire for Senate	1,666.66
Brandon Phelps for State	333.34
Citizens for Chris Nybo	333.34
Citizens for Christine Radogno	333.34
Citizens for Connelly	333.34
Citizens for Dale A Righter	333.34
Citizens for Darin Lahood	333.34
Citizens for Fred Crespo	333.34
Citizens for Jim Durkin	833.34
Citizens for John Cullerton	333.34
Citizens for Karen McConaughay	1,666.66
Citizens for Linda Holmes	1,666.66
Citizens for Michael E. Hastings	333.34
Citizens for Noland	333.34
Citizens for Pamela Althhoff	333.34
Citizens for Pritchard	333.34
Citizens to Elect Andre Thapedi	333.34
Citizens to Elect Grant Wehrli	333.34
Citizens to Elect Ron Sandack	333.34
Committee to Elect Dan Biss	333.34
Committee to Elect David	333.34
Committee to Elect Jennifer Bertino-Tarrant	1,666.66
Dan Kotowski for State Senator	333.34
Democratic Majority	833.34
Friends for Anna Moeller	333.34
Friends for Larry Walsh	1,666.66
Friends for Marty Moylan	333.34
Friends for Mulroe	333.34
Friends for Verschoore	333.34
Friends of Andy Manar	333.34
Friends of Arthur Turner	333.34
Friends of Clayborne	333.34
Friends of Don Harmon	333.34
Friends of Elgie Sims JR	333.34
Friends of Jerry Costello	333.34
Friends of John D Anthony	333.34
Friends of John Sullivan	333.34
Friends of Linda Chapa	1,666.66
Friends of Melinda Bush	333.34
Friends of Mike Jacobs	333.34
Friends of Napoleon Harris	333.34
Friends of Natalie Manley	1,666.66
Friends of Stephanie Kifowit	1,666.66
Friends of Sue Rezin	333.34
Friends of Tom Cullerton	333.34

\$ 28,816.86

**HC Joliet, LLC**  
**Political Contributions**  
**2013**

<u>Name</u>	<u>Amount</u>
Brandon Phelps for State IL PO	\$ 333
Citizens for Christine R IL PO	\$ 333
Citizens for Dale A Righ IL PO	\$ 333
CITIZENS FOR DARIN LAHOO IL PO	\$ 333
Citizens for Dennis M. R IL PO	\$ 333
CITIZENS FOR FRED CRESPO IL PO	\$ 333
Citizens for Jim Durkin IL POL	\$ 333
CITIZENS FOR KAREN McCON IL PO	\$ 1,667
Citizens for Kirk Dillar IL PO	\$ 333
Citizens for Linda Holme IL PO	\$ 1,667
Citizens for Noland IL POLITIC	\$ 333
Citizens for Pamela Alth IL PO	\$ 333
Citizens for Rep. Bob Pr IL PO	\$ 333
CITIZENS TO ELECT ANDRE' IL PO	\$ 333
Citizens to Elect Ron Sa IL PO	\$ 333
Committee to Elect Dan B IL PO	\$ 333
Committee to Elect Darle IL PO	\$ 333
COMMITTEE TO ELECT DAVID IL PO	\$ 333
Committee to Elect Jenni IL PO	\$ 1,667
COMMITTEE TO ELECT JENNIFER	\$ 800
COMMITTEE TO ELECT K. ANDERSON	\$ 150
COMMITTEE TO ELECT MCFARLAND	\$ 100
COMMITTEE-ELECT TOM GIARRANTE	\$ 280
Democratic Party of IL IL POLI	\$ 1,667
FRIENDS FOR LARRY WALSH IL POL	\$ 1,667
Friends for Marty Moylan IL PO	\$ 333
FRIENDS FOR MULROE IL POLITICA	\$ 333
Friends for Renee Kosel IL POL	\$ 333
Friends for Verschoore IL POLI	\$ 333
FRIENDS OF ANDY MANAR IL POLIT	\$ 333
FRIENDS OF ARTHUR TURNER IL PO	\$ 333
Friends of Clayborne IL POLITI	\$ 333
Friends of Don Harmon IL.POLIT	\$ 333
FRIENDS OF ELGIE SIMS JR IL PO	\$ 333
FRIENDS OF JERRY COSTELL IL PO	\$ 333
FRIENDS OF JIM OBERWEIS IL POL	\$ 1,667
FRIENDS OF JOHN SULLIVAN IL PO	\$ 333
Frinds of Linda Chapa L IL PO	\$ 1,667
FRIENDS OF MELINDA BUSH IL POL	\$ 333
Friends of Mike Jacobs IL POLI	\$ 333
FRIENDS OF NATALIE MANLE IL PO	\$ 1,667
FRIENDS OF STEPHANIE KIF IL PO	\$ 1,667
FRIENDS OF SUE REZIN IL POLITI	\$ 333
Friends of Tim Schmitz IL POLI	\$ 333
FRIENDS OF TOM CULLERTON IL PO	\$ 333
House Republican Organiz IL PO	\$ 1,667
Illinois Senate Democrat IL PO	\$ 1,667
McGUIRE FOR SENATE IL POLITICA	\$ 1,667
REP. MIKE CONNELLY IL POLITICA	\$ 333
Republican State Senate IL POL	\$ 1,667
Senator John Cullerton IL POLI	\$ 333

\$ 34,330

**Penn National Gaming, Inc.**  
**2012 Local Political Contributions<sup>1</sup>**

State	Name	Amount
IL	Friends of Michael Madigigan	\$ 3,333.34
IL	Friends of Joann Thompson	\$ 2,500.00
IL	Citizens for Christine Rondongo	\$ 1,666.66
IL	Citizens for Linda Holmes	\$ 1,666.66
IL	Citizens to Elect Tom Cross	\$ 1,666.66
IL	Democratic Party of Illinois	\$ 1,666.66
IL	Friends of Linda Chapa	\$ 1,666.66
IL	Illinois Senate Democrat	\$ 1,666.66
IL	McGuire for Senate	\$ 1,666.66
IL	Republican State Senate	\$ 1,666.66
IL	Senator John Cullerton	\$ 1,666.66
IL	Friends of Saviano	\$ 1,333.34
IL	Citizens for Kirk Dillar	\$ 833.34
IL	Dan Kotowski for State	\$ 833.34
IL	House Republican Organization	\$ 833.34
IL	Rep Mike Kwily	\$ 833.34
IL	Citizens for Nolan	\$ 833.34
IL	Friends for Renee Kosel	\$ 666.66
IL	Friends of Bill Haine	\$ 666.66
IL	Friends of Sue Rezin	\$ 666.66
IL	Brandon Phelps for State	\$ 333.34
IL	Citizens for Derinis M. Reboletti	\$ 333.34
IL	Citizens for Jim Durkin	\$ 333.34
IL	Citizens for Karen McCon	\$ 333.34
IL	Citizens for Michael P.	\$ 333.34
IL	Citizens for Tony Munoz	\$ 333.34
IL	Citizens to elect Andre	\$ 333.34
IL	Citizens to Elect Ron Sa	\$ 333.34
IL	Committee to Elect Dan Beiser	\$ 333.34
IL	Friends of Camille Y. Li	\$ 333.34
IL	Friends of Don Harmon	\$ 333.34
IL	Friends of Jim Oberwies	\$ 333.34
IL	Friends of Kelly Burke	\$ 333.34
IL	Friends of Kwame Raoul	\$ 333.34
IL	Friends of Tim Schmitz	\$ 333.34
IL	Gary Forby Campaign Fund	\$ 333.34
IL	Rep Kay Hatcher	\$ 333.34
IL	Citizens for Beiser	\$ 166.66
IL	Citizens for Edward Acev	\$ 166.66
IL	Citizens for Fred Crespo	\$ 166.66
IL	Citizens for Jim Watson	\$ 166.66
IL	Citizens for Lou Lang	\$ 166.66
IL	Committee for Frank Maut	\$ 166.66
IL	Committee to Elect Darle	\$ 166.66
IL	Friends for Pam Roth	\$ 166.66
IL	Syverson for Senate	\$ 166.66
IL	Friends of Clayborne	\$ (1,166.00)

<sup>1</sup> Includes contributions made on behalf of Hollywood Casino Joliet by Penn National Gaming, Inc. Does not include contributions made in other jurisdictions. Please refer to the Annual Business Entity Disclosure Affidavit filed by Penn National Gaming, Inc., licensee's ultimate parent.

## EXHIBIT 12.1

**Empress Casino Joliet Corporation  
2012 Political Contributions**

State	Candidate or Committee Name	Amount of Contribution
IL	Friends of Joann Thompson	\$ 2,500.00
IL	Committee to Elect Don Fisher	\$ 100.00

**Note:** All other political contributions are paid directly by Penn National Gaming, Inc., the Licensee's ultimate parent and are disclosed in the 2013 Annual Business Entity Disclosure Affidavit filed by Penn National Gaming, Inc.