

SECTION 5.1(a)(1-12)

The following are the responses of HC Joliet, LLC to Section 5.1(a) (1-12) of the Riverboat Gambling Act:

1. The name, business address and business telephone number of the licensee:
 - A. Name: *HC Joliet, LLC*
 - B. Business address: *777 Hollywood Blvd., Joliet, Illinois 60436*
 - C. Business telephone number: *(800) 436-7737*
2. An identification of any applicant or licensee including, if an applicant or licensee is not an individual, the names and addresses of all stockholders and directors, if the entity is a corporation; the names and addresses of all members, if the entity is a limited liability company; the names and addresses of all partners, both general and limited, if the entity is a partnership; and the names and addresses of all beneficiaries, if the entity is a trust. If an applicant or licensee has a pending registration statement filed with the Securities and Exchange Commission, only the names of those persons or entities holding interest of 5% or more must be provided.
 - A. State of Incorporation: *Illinois*
 - B. Corporate officers:

Jay Snowden – President, Secretary, Treasurer
110 Summer St., Hingham, MA 02043; 610-401-2037
Rafael Verde – Vice President
466 Pugh Rd., Wayne, PA 19087; 610-401-2919
19609; 610-401-2092
Lydia Garvey - V.P./General Manager
21322 Willow Pass, Shorewood, IL 60404; 815-927-2074
 - C. Identity of all shareholders:

Penn National Gaming, Inc.
3. An identification of any business, including, if applicable, the state of incorporation or registration, in which an applicant or licensee or an applicant's or licensee's spouse or children has an equity interest of more than 1%. If an applicant or licensee is a corporation, partnership or other business entity, the applicant or licensee shall identify any other corporation, partnership or business entity in which it has an equity interest of 1% or more, including, if applicable, the state of incorporation or registration. This information need not be provided

SECTION 5.1(a)(1-12)

by a corporation, partnership or other business entity that has a pending registration statement filed with the Securities and Exchange Commission.

None.

4. Whether an applicant or licensee has been indicted, convicted, pleaded guilty or nolo contendere, or forfeited bail concerning any criminal offense under the laws of any jurisdiction, either felony or misdemeanor (except for traffic violations), including the date, the name and location of the court, arresting agency and prosecuting agency, the case number, the offense, the disposition and the location and length of incarceration.

None.

5. Whether an applicant or licensee has had any license or certificate issued by a licensing authority in Illinois or any other jurisdiction denied, restricted, suspended, revoked or not renewed and a statement describing the facts and circumstances concerning the denial, restriction, suspension, revocation or non-renewal, including the licensing authority, the date each such action was taken, and the reason for each such action.

None.

6. Whether an applicant or licensee has ever filed or had filed against it a proceeding in bankruptcy or has ever been involved in any formal process to adjust, defer, suspend or otherwise work out the payment of any debt including the date of filing, the name and location of the court, the case and number of the disposition.

None.

7. Whether an applicant or licensee has filed, or been served with a complaint or other notice filed with any public body, regarding the delinquency in the payment of, or a dispute over the filings concerning the payment of, any tax required under federal, State or local law, including the amount, type of tax, the taxing agency and time periods involved.

None.

8. A statement listing the names and titles of all public officials or officers of any unit of government, and relatives of said public officials or officers who, directly or indirectly, own any financial interest in, have any beneficial interest in, are the creditors of or hold any debt instrument issued by, or hold or have any interest in any contractual or service relationship with, an applicant or licensee.

SECTION 5.1(a)(1-12)

To the best of LICENSEE's knowledge, no public officials or officers or employees of any unit of government, or relatives of said public officials, officers or employees, directly or indirectly, own any financial interest in, have any beneficial interest in, are the creditors of or hold any debt instrument issued by, or hold or have any interest in any contractual or service relationship with LICENSEE, any of its key persons, or any individual or business entity having an interest in the operation.

To the extent that any said public officials, officers or employees or their relatives would have a financial interest in Penn National Gaming Company and not disclose this fact to Penn National, Penn National would not be aware of this interest, unless such person qualified as a Key Person under the definition of the Act.

9. Whether the licensee has made, directly or indirectly, any political contribution, or any loans, donations or other payments, to any candidate or office holder, within 5 years from the date of filing the application, including the amount and the method of payment:

*See attached **Exhibit 9**.*

10. The name and business telephone number of the counsel representing the licensee in matters before the Board:

*Greenberg Traurig, LLP
77 West Wacker Drive
Suite 3100
Chicago, IL 60601
312-456-1011*

11. A description of the approved riverboat gaming operation, including (a) the type of boat, (b) home dock, or casino or gaming location, (c) expected economic benefit to the community, (d) anticipated or actual number of employees, (e) any statement from an applicant or licensee regarding compliance with federal and State affirmative action guidelines, (f) projected or actual admissions and (g) projected or actual adjusted gross gaming receipts:

(a) *The riverboat gaming operation is located on a permanently moored barge of three levels that is approximately 340 feet long and 106 feet wide.*

(b) *Licensee has its home dock in Joliet, Illinois.*

(c) *In 2021, the Licensee paid \$4,753,565.27 in gaming revenue to the City of Joliet which was used for city operations. In addition, the Licensee spent*

SECTION 5.1(a)(1-12)

\$2,211,044 with local vendors for capital improvements, goods, and services and an additional \$5,822,350 with other State of Illinois vendors. Licensee contributed over \$61,800 in cash and in-kind donations to local charities and non-profit organizations. In 2021 Hollywood Casino also contributed \$30,000 to the Joliet/Will County Center for Economic Development (CED). The CED acts as the main contact point, facilitator and information clearinghouse for any business looking to locate in the Joliet/Willcounty area. The CED actively markets the region to generate business leads and attract development dollars into the area. Hollywood's funding commitment to the CED is one of its single largest funding sources.

- (d) Licensee employed 323 individuals as of 12/31/21.*
- (e) Licensee is in compliance with federal and State affirmative action guidelines.*
- (f) Licensee had 644,353 patron admissions in 2021.*
- (g) Licensee adjusted gross gaming receipts gaming (gaming revenue) totaled \$82,190,822.06 in 2021.*

12. A description of the product or service to be supplied by an applicant for a supplier's license.

Not applicable.

HOLLYWOOD CASINO - JOLIET
SUMMARY OF POLITICAL CONTRIBUTIONS
FOR THE YEAR ENDING DECEMBER 31, 2018

RECIPIENT	TOTAL
Anderson (Neil) for Illinois	250.00
Friends of Jaime M Andrade Jr	250.00
Friends of Omar Aquino	250.00
Committee to Elect Jennifer Bertino-Tarrant	1,250.00
Friends of Monica Bristow	250.00
Friends of Kelly M Burke	250.00
Friends of Linda Chapa LaVia	1,250.00
Citizens for Connelly (Michael)	250.00
Citizens to Elect John Connor	250.00
Friends of Jerry Costello II	250.00
Citizens for John Cullerton	1,250.00
Friends of Tom Cullerton	250.00
Friends of John Curran	250.00
William Davis for State Representative	250.00
Team Demmer (Tom)	250.00
Citizens for Durkin (Jim)	1,250.00
Citizens for Marcus C Evans Jr	250.00
Friends of Don Harmon	625.00
Citizens for Michael E Hastings	625.00
Citizens for Linda Holmes	1,250.00
Friends of Toi Hutchinson	250.00
Friends of Stephanie Kifowit	1,250.00
Citizens for Lou Lang	250.00
Friends of Terry Link	625.00
Friends of Michael J Madigan	1,250.00
Friends fo Natalie Manley	1,250.00
Void Political Contribution - Friends of Natalie Manley	(625.00)
McGuire (Pat) for Senate	1,250.00
Friends for Marty Moylan for State Representative	250.00
Friends for Mulroe (John)	250.00
Citizens for Antonio "Tony" Munoz	1,250.00
Citizens for Chris Nybo	250.00
Friends of Sue Rezin	500.00
Friends of Robert "Bob" Rita	250.00
Friends of Elgie Sims Jr	375.00
Syverson (Dave) for Senate	250.00
Citizens to Elect Andre Thapedi	250.00
Friends of Arthur Turner II	1,250.00
Friends for Larry Walsh Jr	1,250.00
Citizens to Elect Grant Wehrli	250.00
Committee to Elect Keith Wheeler	250.00
Zalewski (Michael J) for State Representative	250.00
Democratic Majority	1,250.00
Senate Democratic Victory Fund	1,250.00
House Republican Organization	1,250.00
Republican State Senate Campaign Committee	1,250.00
	0.00
TOTAL	28,125.00

**HOLLYWOOD CASINO - JOLIET
SUMMARY OF POLITICAL CONTRIBUTIONS
FOR THE YEAR ENDING DECEMBER 31, 2019**

RECIPIENT	TOTAL
	0.00
General accrual	0.00
TOTAL	0.00

HOLLYWOOD CASINO - JOLIET
SUMMARY OF POLITICAL CONTRIBUTIONS
FOR THE YEAR ENDING DECEMBER 31, 2020

RECIPIENT	TOTAL
Friends of Don Harmon	500.00
Friends of Natalie Manley	500.00
Citizens for Michael Hastings	500.00
Citizens for Durkin	500.00
Committee to Elect Keith	500.00
Committee to Elect John Connor	500.00
Friends of John Curran	500.00
Rachelle Aud Crowe for Senate	500.00
Citizens for Holmes	500.00
Friends of Katie Stuart	500.00
TOTAL	5,000.00

HOLLYWOOD CASINO - JOLIET
SUMMARY OF POLITICAL CONTRIBUTIONS (2230-5580-709800-0000)
FOR THE YEAR ENDING DECEMBER 31, 2021

RECIPIENT	TOTAL
Friends of Robert Martwick	100.00
Citizens for Antonio Tony Muñoz	100.00
Friends of John F Curran	100.00
Friends of Sue Rezin	100.00
Friends of Sally Turner	100.00
Daniel Didich Campaign Committee	100.00
Friends of Stephanie Kifowit	200.00
Friends for Katie Stuart	200.00
Friends of Don Harmon	250.00
Friends of Natalie Manley	200.00
Elect Dan McConchie	250.00
Friends of Robert Bob Rita	200.00
Citizens for Holmes	250.00
Rachelle Aud Crowe for Senate	250.00
Citizens for Castro	100.00
Joyce for Senate	100.00
Friends of Elgie Sims Jr	100.00
Committee to Elect Jay Hoffman	100.00
Friends of Ryan Spain	100.00
Committee to Elect Keith Wheeler	200.00
Citizens for Durkin	250.00
Friends for Larry Walsh Jr	200.00
Democrats for the Illinois House	250.00
Senate Republican Victory Fund	250.00
The People for Emanuel Chris Welch	250.00
ISDF	250.00
House Republican Majority	250.00
Citizens for Michael E Hastings	200.00
	0.00
TOTAL	5,000.00