

SUBPART G: EXCLUSION OF PERSONS

Section 3000.750 Establishment of a Self-Exclusion List

- a) Any person who acknowledges that he or she has a gambling problem may request of the Board that he or she be excluded voluntarily from the area within the admission turnstiles of all ~~riverboat~~ gaming operations in Illinois on a permanent basis, except as limited by Section 3000.780. A person shall be placed on the Self-Exclusion List upon submission of all information and completion and execution of all forms required under Section 3000.755, as enforced by the Administrator.
- b) Any person who enrolls in the Self-Exclusion Program may elect to be placed on the Self-Exclusion List for a period of six months, one year, three years, five years, or indefinitely. Any person placed on the Self-Exclusion List shall be prohibited ~~for a minimum of 5 years~~ from entering the area within the admission turnstiles of any ~~riverboat~~ gaming operation in the State of Illinois. Any gaming operation in the United States owned or operated by an affiliate of an Illinois ~~riverboat~~ gaming operation may, in its sole discretion, prohibit a person placed on the Self-Exclusion List from entering its affiliated gaming operations. Any gaming regulatory agency in any state with which the Board enters into an agreement to share confidentially the information contained in the Self-Exclusion List may, in its sole discretion, prohibit a person placed on the Self-Exclusion List from entering any gaming operation within its jurisdiction.
- c) Any person who is removed from the Self-Exclusion List is enrolled in the Marketing Exclusion List for a period of 12 months. Any person enrolled on the Marketing Exclusion List may at any point request removal from the list.
- d) The Administrator shall maintain the Self-Exclusion List and Marketing Exclusion List in a confidential manner.

Section 3000.751 Locations to Execute Self-Exclusion Forms

Any person may seek placement on the Self-Exclusion List by contacting any agent of the Board ~~at on any riverboat gaming operation at any time when gaming is conducted,~~ appearing at a Board designated enrollment site, or through any alternative means made available by the Board ~~appearing at the offices of the Board in Chicago or Springfield, Illinois during regular business hours, or appearing before a designated registration agent.~~ Persons who are unable to travel to an enrollment site or make use of other alternative means ~~a Board office~~ due to employment, financial or medical reasons may request, in writing, a reasonable accommodation in a manner or at a site and time designated at the

sole discretion of the Administrator. Nothing in this Section shall require that an accommodation be granted.

Section 3000.755 Information Required for Placement on the Self-Exclusion List

- a) The Administrator shall determine the information and forms to be required of a person seeking placement on the Self-Exclusion List. Such information shall include, but not be limited to, the following:
 - 1) Full name, including maiden name and alias information;
 - 2) Home Street Address and/or P.O. Box;
 - 3) Date of Birth;
 - 4) Social Security Number;
 - 5) A copy of his or her driver's license;
 - 6) A physical description; ~~and~~
 - 7) A current photograph; ~~and~~
 - 8) Email address.
- b) Failure to provide any information or to execute any forms deemed necessary by the Administrator may result in a denial of a request for placement on the Self-Exclusion List.
- c) Such forms may include a request to waive the liability of the Board, its agents and the State of Illinois for any damages that may arise out of any act or omission related to placement on the Self-Exclusion List.

Section 3000.760 Distribution and Availability of Confidential Self-Exclusion List

- a) The Board shall maintain and keep current the Self-Exclusion List and Marketing Exclusion List. The Lists shall be updated and distributed in its entirety to each ~~riverboat~~ casino on a regular basis.
- b) Upon placement on the Self-Exclusion List by the Administrator, the name and identifying information of the self-excluded person shall be distributed to each ~~riverboat~~ gaming operation.

- c) No ~~riverboat~~-gaming operation may disclose the name of any person on the ~~Self-Exclusion-Lists~~ to any third party unless specifically authorized by this Part or required by a court order specifically requiring the release of mental health records and information.
- d) No owner licensee, organization gaming licensee, occupational licensee or applicant or approved Key Person or Key Person applicant who obtains identifying information about a person on the ~~Self-Exclusion-Lists~~ from any source may disclose the name or identifying information of the self-excluded person, except as necessary to effectuate, or as specifically permitted by, this Part.
- e) Any licensee or applicant for license and any approved Key Person or Key Person applicant who knowingly discloses, authorizes disclosure, permits a disclosure, or otherwise assists in the disclosure of the identity of a person on the ~~Self-Exclusion-Lists~~ shall be subject to discipline for each disclosure, including but not limited to any disclosure by any of its officers, directors, employees, attorneys, agents and contractors, unless the disclosure complies with the following provisions:
 - 1) The disclosure is made on the same need to know basis restriction applicable to mental health information to staff for the sole purpose of effectuating the approved Internal Control responsibilities.
 - 2) The disclosure is made for the sole purpose of effectuating the Self-Exclusion program and this Part as to any customer tracking system, customer identification system, chips and token exchange system, financial transactions system, or check and credit system.
 - 3) The disclosure is made in compliance with the approved Internal Controls.
- f) Disclosure may be made to affiliate gaming operations with the prior written approval of the Administrator. A licensee seeking such approval must provide to the Administrator an explanation of the manner in which the identity of the self-excluded persons will be maintained confidentially by the affiliate gaming operations.
- g) Nothing in this Section prohibits disclosure of the name of a person on the ~~Self-Exclusion-Lists~~ to the Board or its staff or to a person authorized in writing by the self-excluded person on the ~~Self-Exclusion-Lists~~ to receive such information.

Section 3000.770 Duties of Licensees

- a) No licensee shall knowingly allow any person placed on the Self-Exclusion List pursuant to Section 3000.750 to enter the area within the admission turnstiles of, or engage in gambling at, the ~~riverboat~~-gaming operation. The ~~riverboat~~-gaming operation shall cause the name and address of any person on the Self-Exclusion List or Marketing Exclusion List to be flagged on all mailing, marketing or promotional lists or databases, except as provided in this Part. No licensee shall knowingly send marketing or promotional materials to any person placed on the Self-Exclusion List or Marketing Exclusion List. Any person placed on the Marketing Exclusion List may engage in or participate in any promotions or other activities made available by a licensee.
- b) Owner and organization gaming licensees shall maintain, pursuant to Section 3000.760, a system designed to detect persons on the Self-Exclusion List so as to enforce this Part.
- c) Forfeiture
 - 1) A licensee must immediately notify a Board agent upon making a determination that a person listed on the Self-Exclusion List has entered the area within the admission turnstiles of a ~~riverboat~~-gaming operation and remove the person from the ~~riverboat~~-gaming operation.
 - 2) Upon ascertaining that a person on the Self-Exclusion List is present in the area within the admission turnstiles of a ~~riverboat~~-gaming operation, a licensee must inventory, in the presence of an IGB agent, all claimed or unclaimed jackpots and winnings, and all chips, tokens, vouchers or electronic credits in play or in plain view in the possession or control of the self-excluded person, at the time he or she is apprehended. The owner licensee shall provide a receipt to the self-excluded person for all items inventoried.
 - 3) Owner or organization gaming licensees shall refrain from knowingly paying out jackpots under \$1,200 and from paying out all jackpots in amounts of \$1,200 or over won by patrons on the Self-Exclusion List.
- d) The ~~riverboat~~-gaming operation shall cause the name and address of any person on the Self-Exclusion List to be flagged on all check-cashing, credit issuance, and other financial eligibility lists or databases utilized by the ~~riverboat~~-gaming operation for any purposes, except as authorized by this Part. Owner or organization gaming licensees shall not knowingly cash checks for, extend gaming operation credit to, or otherwise assist a person on the Self-Exclusion List to obtain funds for gambling purposes.

Section 3000.780 Request for Removal from the Self-Exclusion List

- a) Any person who has enrolled in the Self-Exclusion program for any period of time other than indefinitely shall be automatically re-enrolled into their selected term. Upon the expiration of 5 years from the date of placement on the Self-Exclusion List, any person who has been placed on the five year or indefinite Self-Exclusion List may request the Administrator to remove his or her name from the Self-Exclusion List. The request must be in writing, state with specificity the reason for the request and be submitted to the Administrator at the Board's Chicago office. The request must be based on the elimination of a mental health or medical condition underlying the person's acknowledgment that he or she has been a problem gambler and unable to gamble responsibly. Information as to mental health or medical conditions will be maintained pursuant to the Mental Health and Developmental Disabilities Confidentiality Act [740 ILCS 110] and other applicable federal and State laws.
- b) If the Administrator approves the request, the Administrator shall inform all ~~riverboat~~ gaming operations of the removal no later than 10 days after approval. If the Administrator denies the request, the Administrator shall send to the person who has requested removal a Notice of Denial of Removal from the Self-Exclusion List by certified mail. Owner licensees may continue to deny gambling privileges to self-excluded persons who have been removed from the List.
- c) A decision whether to remove a person from the five year or indefinite Self-Exclusion List shall be within the discretion of the Administrator, subject to the fulfillment of all requirements under Section 3000.782 and further subject to the process provided by Section 3000.785.

Section 3000.787 Placement on the Self-Exclusion List Following Removal

A person whose name has been removed from the Self-Exclusion List may subsequently request to be placed again on the list. The procedure for placement on the Self-Exclusion List under this Section shall be the same as that for a person requesting placement on the list for the first time. ~~A placement of a person on the Self-Exclusion List under this Section shall be permanent, notwithstanding any other provision of this Subpart.~~