

ILLINOIS GAMING BOARD

160 North LaSalle Street, 3rd Floor
Chicago, Illinois 60601
312-814-4700



VIDEO GAMING PERSONAL DISCLOSURE FORM

Name of Individual completing this form:

Name of affiliated Applicant or Licensee for which this form is submitted:

Date (mm/dd/yyyy): _____

TYPE OF LICENSE FOR WHICH THIS FORM IS SUBMITTED (check all that apply)

- Manufacturer Distributor Supplier Terminal Operator
 Licensed Video Gaming Location

POSITION/RELATIONSHIP WITH APPLICANT/LICENSEE (check all that apply)

- Owner Shareholder Partner Manager Officer Director/Board Member
 Shareholder of parent or subsidiary corporation Other _____

INSTRUCTIONS FOR VIDEO GAMING PERSONAL DISCLOSURE FORM

WARNING

BY FILING THIS FORM, YOU ACCEPT ANY RISK OF ADVERSE PUBLIC NOTICE, EMBARRASSMENT, CRITICISM, OR OTHER ACTION, OR FINANCIAL LOSS WHICH MAY RESULT FROM ACTION TAKEN OR NOT TAKEN WITH RESPECT TO THE FORM AND ANY LICENSE APPLICATION, AND EXPRESSLY WAIVE ANY CLAIM FOR DAMAGES AS A RESULT THEREOF. INFORMATION NOT REQUESTED IN THIS FORM OR IN ADDITION TO THAT PROVIDED IN RESPONSE TO THIS FORM MAY BE REQUESTED.

Read the entire Form before responding to the questions.

This form is an official document. You should respond to the questions contained herein to the best of your knowledge after substantial inquiry. Do not misstate or omit any material fact(s) as each statement made herein is subject to verification. **ANY misrepresentation, failure to reveal information or omission is grounds for denial of a license application. “A person who knowingly makes a false statement on an application is guilty of a Class A misdemeanor.” 230 ILCS 10/9(f).**

You are under a continuing duty to disclose promptly any changes in the information provided in this form and additional information and materials submitted to the Illinois Gaming Board. The duty to make such additional disclosures shall continue throughout any period of licensure granted by the Illinois Gaming Board associated with this Video Gaming Personal Disclosure Form.

Type or print the answers to questions in black ink. **All signatures should be in blue ink.** If a question does not apply to you, so state with “N/A.” If space available is insufficient, continue on a separate sheet of paper and precede each answer by labeling the section to which it is responsive. You must initial each page, as provided in the lower right hand corner. By placing your initials on each page, you are attesting to the accuracy and completeness of the information contained on that page.

REQUIRED FORMS AND DOCUMENTS

Individuals submitting this form are required to be fingerprinted under the Video Gaming Act and Adopted Regulations. You will be informed as to where you will be fingerprinted when you file this Form. This form will not be processed until fingerprints are provided.

The following properly executed forms and documents are required prior to the processing of this Video Gaming Personal Disclosure Form:

1. Schedule of Exhibits.
2. A current photograph of you.
3. Birth Certificate.
4. Copy of your Driver's License (front and back).
5. Request to Release Information.
6. Affidavit of Full Disclosure.
7. Release of All Claims.
8. Acknowledgment.
9. Request for Transcript of Tax Return – Form 4506-T (for the last 4 years).
10. Complete copies of Federal and State tax returns for the last 3 years.

Complete and return the required forms and documents (1 original and 2 copies, each individually bound or stapled on the left side, and 1 electronic copy in Portable Document Format on CD or DVD) to the Illinois Gaming Board. All materials submitted to the Illinois Gaming Board must be sent to:

Illinois Gaming Board
160 North LaSalle Street, 3rd Floor
Chicago, Illinois 60601-3103

DEFINITIONS FOR VIDEO GAMING LICENSE APPLICATIONS AND DISCLOSURE FORMS

For the purposes of all video gaming license applications and disclosure forms, the following terms shall have the following meanings:

Act: The Illinois Video Gaming Act.

Administrator: The chief executive officer responsible for the day-to-day operations of the Illinois Gaming Board.

Affiliate: An Affiliate of a person is a person that directly, or indirectly through one or more intermediaries, controls, or is controlled by, or is under common control with, such person.

Affiliated Entity: An Affiliated Entity of a person is any Business Entity that directly or indirectly, through one or more intermediaries, controls, is controlled by, or is under common control with, the person.

Amusement Machine Route Operation: An operation which involves the installation, operation, and/or service of vending machines, coin-operated amusement devices, and/or Gaming Equipment in retail stores and other establishments including, but not limited to, Convenience Stores, bars, restaurants, liquor stores, Veterans Organizations, Fraternal Organizations, and truck stops.

Applicant: As the context requires, either (i) in the case of a person applying for any video gaming license, the Individual or Business Entity for whom the Application is being submitted to the Illinois Gaming Board, or (ii) in the case of a person submitting a video gaming disclosure form, the Individual or Business Entity for whom an Application is being submitted or has been submitted to the Illinois Gaming Board and with which the form is associated.

Application: All material submitted, including the instructions, definitions, forms and other documents issued by the Illinois Gaming Board, comprising the video gaming license application submitted to the Illinois Gaming Board.

Associated Video Gaming Equipment: Ticket payout systems and validation procedures; wireless, promotional and bonusing systems; kiosks; gaming-related peripherals; hardware, software and systems; and other gaming devices and equipment for compliance with: (i) Illinois laws, regulations, and requirements as codified or otherwise set forth; and (ii) Board-approved video gaming industry standards.

Attributed Interest: A direct or Indirect Interest in an enterprise deemed to be held by an Individual not through the Individual's actual holdings but either through the holdings of the Individual's relatives or through a third party or parties on behalf of the Individual pursuant to a plan, arrangement or agreement.

Beneficiary: Person for whose current, contingent or future benefit property is held in Trust.

Best of its Knowledge or Best of My Knowledge: One's knowledge after substantial inquiry.

Board: The Illinois Gaming Board.

Business Entity: A partnership, incorporated or unincorporated association or group, firm, corporation, limited liability company, partnership for shares, Trust, Sole Proprietorship or other business enterprise.

Contingent Beneficiary: Person designated by Grantor to receive benefit of property held in Trust if the primary Beneficiary is unable or unwilling to take it.

Control(s): The possession, direct or indirect, of the power to direct or cause the direction of the management, operation or policies of an Individual or Business Entity, whether through the ownership of voting securities, by contract, or otherwise.

Convenience Store: A retail store that is open long hours, sells motor fuel, and a limited selection of snacks and general goods.

Debt Instrument: Any bond, loan, mortgage, Trust deed, note, debenture, subordination, guaranty, letter of credit, Security Agreement, surety agreement, pledge, chattel mortgage or form of indebtedness.

Distributor: An Individual, partnership, corporation, or limited liability company licensed under the Act to buy, sell, lease, or distribute Video Gaming Terminals or major components or parts of Video Gaming Terminals to or from Terminal Operators.

Enforce a Security Interest: To transfer possession of ownership or title pursuant to a Security Interest.

Fraternal Organization: An organization or institution organized and conducted on a not-for-profit basis with no personal profit inuring to anyone as a result of the operation and that is exempt from federal income taxation under Section 501(c)(8) or (C)(10) of the Internal Revenue Code.

Game: A gambling activity which is played for money, property, or anything of value, including without limitation those played with cards, chips, tokens, vouchers, dice, implements, or electronic, electrical, or mechanical devices or machines.

Gaming: The dealing, operating, carrying on, conducting, maintaining or exposing for play any Game.

Gaming Equipment: A machine, mechanism, device or implement which effects the result of a Game by determining win or loss, including without limitation electronic, electrical, or mechanical devices or machines, software, cards, or dice, and any representative of value used with any Game, including without limitation chips, tokens, or electronic debit cards and related hardware and software.

Gaming Operation: The conducting of Gaming or the providing or servicing of Gaming Equipment.

Gaming Property Collateral: Video Gaming Equipment subject to a Security Interest.

Gaming-related: Anything related to the dealing, operating, carrying on, conducting, transporting, maintaining or exposing for play of any Game.

Grantor: The settlor or creator of a Trust.

Illinois Resident:

- (1) With respect to an Individual, an Individual who is either: (i) domiciled in Illinois or maintains a bona fide place of abode in Illinois; or (ii) is required to file an Illinois tax return during the taxable year.
- (2) With respect to a corporation, any corporation organized under the laws of this State and any foreign corporation with a certificate of authority to transact business in Illinois. A foreign corporation not authorized to transact business in this State is a nonresident of this State.
- (3) With respect to a partnership, a partnership in which any partner is an Illinois resident, or where the partnership has an office and is doing business in Illinois.
- (4) With respect to an irrevocable Trust, a Trust where the grantor was an Illinois resident individual at the time such Trust became irrevocable.

Immediate Family: Spouse (other than a spouse who is legally separated from the Individual under a decree of divorce or separate maintenance), parents, grandparents, siblings, children and grandchildren, step-children, whether by blood, marriage, or adoption.

Indirect Interest: An interest in a Business Entity that is deemed to be held by an Applicant or a licensee not through the Applicant's or licensee's actual holdings in the Business Entity, but through the Applicant's or licensee's holdings in other Business Entities.

Individual: Any natural person.

Institutional Investor: (1) a retirement fund administered by a public agency for the exclusive benefit of federal, state, or local public employees; (2) an investment company registered under Section 8 the Investment Company Act of 1940; (3) a collective investment trust organized by a bank under Part 9 of the Rules of the Comptroller of the Currency; (4) a closed end investment trust registered with the United States Securities and Exchange Commission; (5) a chartered or licensed life insurance company or property and casualty insurance company; (6) a federal or state bank; (7) an investment advisor registered under the Investment Advisors Act of 1940; or (8) such other person as the Illinois Gaming Board may determine for reasons consistent with the Act and Adopted Rules.

Licensed Fraternal Establishment: A location licensed under the Act where a qualified Fraternal Organization that derives its charter from a national Fraternal Organization regularly meets.

Licensed Retail Establishment: A location licensed under the Act where alcoholic liquor is drawn, poured, mixed, or otherwise served for consumption on the premises. Licensed Retail Establishment does not include a Licensed Fraternal Establishment, Licensed Veterans Establishment, or a Licensed Truck Stop Establishment.

Licensed Technician: An individual who is licensed under the Act to repair, service, and maintain Video Gaming Terminals.

Licensed Terminal Handler: A person, including but not limited to an employee or independent contractor working for a manufacturer, distributor, supplier, technician, or terminal operator, who is licensed under the Act to possess or control a Video Gaming Terminal or to have access to the inner workings of a Video Gaming Terminal. A licensed terminal handler does not include an individual, partnership, corporation or limited liability company defined as a manufacturer, distributor, supplier, technician, or terminal operator under the Act.

Licensed Truck Stop Establishment: a facility licensed under the Act (i) that is at least a 3-acre facility with a convenience store, (ii) with separate diesel islands for fueling commercial motor vehicles, (iii) that sells at retail more than 10,000 gallons of diesel or biodiesel fuel per month, and (iv) with parking spaces for commercial motor vehicles as defined in Section 18b-101 of the Illinois Vehicle Code. The requirement of item (iii) of this paragraph may be met by showing that estimated future sales or past sales average at least 10,000 gallons per month.

Licensed Veterans Establishment: A location licensed under the Act where a qualified Veterans Organization that derives its charter from a national Veterans Organization regularly meets.

Licensed Video Gaming Location: A Licensed Retail Establishment, Licensed Fraternal Establishment, Licensed Veterans Establishment, or Licensed Truck Stop Establishment.

Liquor License: A license issued by a governmental body authorizing the holder to sell and offer for sale at retail alcoholic liquor for use or consumption.

Major Components or Parts: Components or parts that comprise the inner workings and peripherals of a Video Gaming Terminal, including but not limited to the device's hardware, software, human interface devices, interface ports, power supply, ticket payout system, bill validator, printer and any component which effects or could effect the result of a Game played on the device.

Manage: To exercise executive, administrative, and/or supervisory direction.

Manufacturer: An individual, partnership, corporation, or limited liability company that is licensed under the Act and that manufactures or assembles Video Gaming Terminals.

Nominee: Any Individual or Business Entity that holds as owner of record the legal title to tangible or an intangible personality or real property, including without limitation any stock, bond, debenture, note, investment contract or real estate on behalf of another Individual or Business Entity, and as such is designated and authorized to act on his or its behalf with respect to such property.

Ownership Interest: Includes, but is not limited to, direct, indirect, beneficial or Attributed Interest, or holder of stock options, convertible debt, warrants or stock appreciation rights, or holder of any beneficial ownership or leasehold interest in a Business Entity.

Person with Significant Influence and Control:

- (1) Each person in whose name the Liquor License is maintained for each Licensed Video Gaming Location;
- (2) Each person who, in the opinion of the Administrator, has the ability to Influence or Control the activities of the Applicant or licensee, or elect a majority of its board of directors, other than a bank or other licensed lending institution that holds a mortgage or other lien, or any other source of funds, acquired in the ordinary course of business; or
- (3) Persons having the power to exercise significant influence or control over decisions concerning any part of the Applicant's or licensee's Video Gaming Operation.

Public Official: An Individual who is elected to office pursuant to Illinois statute, or who is appointed to an office which is established under and the qualifications and duties of which are prescribed by Illinois statute to discharge a public duty for the state or any of its political subdivisions.

Publicly Held Company: A company that has filed a registration statement with the Securities and Exchange Commission and its shares are traded on a national stock exchange.

Secured Party: A person who is a lender, seller, or other person who holds a valid security interest.

Security: An ownership right or creditor relationship.

Security Agreement: An agreement that creates or provides a security interest, including but not limited to a Use Agreement.

Security Interest: An interest in property that secures the payment or performance of an obligation or judgment.

Sole Proprietor: An Individual who in his or her own name owns 100% of the assets and who is solely liable for the debts of a business.

Substantial Owner: The holder of at least 25% of the total combined voting power of a corporation or at least 25% of the total value of shares of all classes of stock of a corporation; or at least 25% interest in a partnership; or at least 25% of the value of a Trust computed actuarially; or at least 25% of the legal or beneficial interest in any other Business Entity. For purposes of computing the forgoing percentages, a holder shall be deemed to own any stock or other interest in a Business Entity, whether owned as a direct or Indirect Interest.

Successor Trustee: A Trustee who succeeds an earlier Trustee.

Supplier: An individual, partnership, corporation, or limited liability company that is licensed under the Act to supply Major Components or Parts to Video Gaming Terminals to Terminal Operators.

Terminal Operator: An Individual, partnership, corporation, or limited liability company that is licensed under the Act and that owns, services, and maintains Video Gaming Terminals for placement in Licensed Video Gaming Locations.

Truck Stop Establishment: a facility (i) that is at least a 3-acre facility with a convenience store, (ii) with separate diesel islands for fueling commercial motor vehicles, (iii) that sells at retail more than 10,000 gallons of diesel or biodiesel fuel per month, and (iv) with parking spaces for commercial motor vehicles as defined in Section 18b-101 of the Illinois Vehicle Code. The requirement of item (iii) of this paragraph may be met by showing that estimated future sales or past sales average at least 10,000 gallons per month.

Trust: a property interest held by one person (the Trustee) at the request of another (the Grantor) for the benefit of a third party (the Beneficiary).

Trustee: Person who holds legal title to property in a Trust for the benefit of the Beneficiaries of the Trust.

Use Agreement: A contractual agreement between a Terminal Operator and a Licensed Video Gaming Location establishing terms and conditions for placement and operation of Video Gaming Terminals by the Terminal Operator within the premises of the Licensed Video Gaming Location.

Veterans Organization: An organization or institution organized and conducted on a not-for-profit basis with no personal profit inuring to anyone as a result of the operation and that is exempt from federal income taxation under Section 501(c)(19) of the Internal Revenue Code.

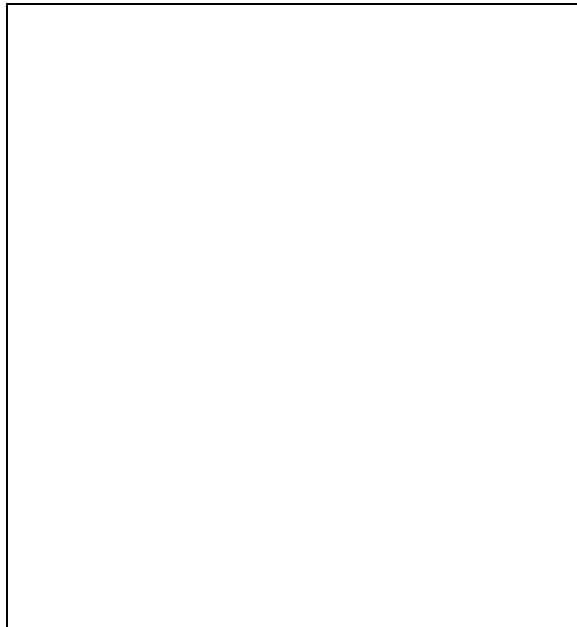
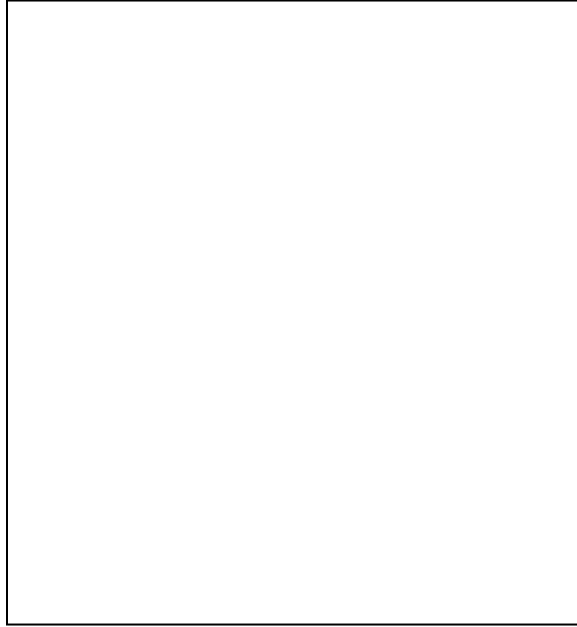
Video Gaming Equipment: Video Gaming Terminals, Associated Video Gaming Equipment, and Major Components or Parts.

Video Gaming Operation: As the context requires, the conducting of video gaming and all related activities.

Video Gaming Terminal: An electronic video game machine that, upon insertion of cash, is available to play or simulate the play of a video game, including but not limited to video poker, line up, and blackjack, as authorized by the Board utilizing a video display and microprocessors in which the player may receive free games or credits that can be redeemed for cash. The term does not include a machine that directly dispenses coins, cash, or tokens or is for amusement purposes only.

Attach a recent color passport quality photograph of yourself.

Also attach a copy of your Driver's License (front and back).



Attach a copy of your birth certificate here (if it is small) or insert between pages 9 & 10. If a birth certificate is not available, one of the following will be acceptable:

- Naturalization papers; or
- A copy of a letter from you to the appropriate government agency requesting a birth certificate. The letter must show both the name and address of the agency from which the birth certificate is requested.

SECTION 1 - PERSONAL INFORMATION

Name (First)			(Middle)			(Last)						
Maiden Name				Alias Names (nicknames, other name changes, legal or otherwise)								
Street Address					City/State/Zip Code							
Telephone: Residence ()			Business ()			Mobile ()						
Occupation					Email Address							
Employer Name				Employer Address (street address/city/state/zip code)								
Date of Birth (mm/dd/yyyy)			Place of Birth (city, county, state or country)			Social Security Number						
Sex <input type="checkbox"/> male <input type="checkbox"/> female		Eye Color		Hair Color		Complexion		Height		Weight		Age
Scars, Tattoos, or Distinguishing Marks					Driver License or State I.D. Number & State of Issue							
Are you a U.S. citizen? <input type="checkbox"/> yes <input type="checkbox"/> no												
If no, give alien registration/entry visa/work permit number(s):						Date of entry (mm/dd/yyyy):						
U.S. military service (branch and dates of service):												
Type of discharge:						Submit as exhibit 1 a copy of your military discharge record (DD214)						

SECTION 2 – FAMILY

Submit the following information about your spouse; children and dependents, including step-children and adopted children; and your parents, including parents-in-law and legal guardians. If additional space is needed, attach additional sheets in the same format and submit as Exhibit 2(a).

	Name (maiden)	Birth date	Address	Occupation/Deceased
Spouse				
Father				
Mother				

	Name (maiden)	Birth date	Address	Occupation/Deceased
Father-in-law				
Mother-in-law				
Child or dependant				
Child or dependant				

Are any of your relatives* a current or past member or employee of the Illinois Gaming Board. Yes No If yes, provide complete details on a separate sheet of paper and submit as Exhibit 2(b).

* Relative(s) means spouse, parents, grandparents, children, siblings, uncles, aunts, nephews, nieces, first cousins, fathers-in-law, mothers-in-law, sons-in-law, daughters-in-law, brothers-in-law, sisters-in-law, whether by blood, marriage, adoption or natural relationship, and dependents.

SECTION 3 - LICENSE AND GAMING HISTORY

List any business, technical or professional licenses, permits, or certifications that you have held or applied for in any state or jurisdiction. If additional space is needed, attached additional sheets in the same format and submit as Exhibit 3(a).

	License, permit or certification number	Business name (if applicable)	State or jurisdiction	Date applied for and years held
Gambling				
Coin-operated amusement device				
Liquor				
Other				

Has any license, permit, or certification identified herein ever been subject to denial, non-renewal, suspension, revocation or any regulatory action in any jurisdiction? Yes No If yes, provide complete details on a separate sheet of paper and submit as Exhibit 3(b).

Have you ever been involved in a gambling related business in any state, country, or other jurisdiction, though never issued a license or permit? Yes No If yes, provide complete details on a separate sheet of paper and submit as Exhibit 3(c).

Have you ever facilitated, enabled, or participated in the use of coin-operated amusement devices for gambling purposes? Yes No If yes, provide complete details, including dates for all such activities, on a separate sheet of paper and submit as Exhibit 3(d).

Have you ever appeared on a Gaming exclusion list in any jurisdiction? Yes No If yes, provide complete details on a separate sheet of paper and submit as Exhibit 3(e).

Have you ever been the subject of any order, judgment, or decree of any court or any order of an administrative agency, board, or body prohibiting you from, or otherwise limiting your type of business, practice or authority? Yes No If yes, provide complete details on a separate sheet of paper and submit as Exhibit 3(f).

SECTION 4 – CRIMINAL HISTORY

Check yes or no in each box to answer whether you have or were:

- 1. Fingerprinted? Yes No
- 2. Arrested? Yes No
- 3. Charged with a crime? Yes No
- 4. Convicted? Yes No
- 5. Placed on probation or supervision? Yes No
- 6. Incarcerated? Yes No
- 7. Forfeited bail? Yes No

You must answer YES if any of the above has occurred, even if charges were dismissed, deferred or changed, and even if the convictions were expunged, sealed or pardoned. Explain each in detail below. If additional space is needed, attach additional sheets in the same format and submit as Exhibit 4(a).

Date of Offense or Arrest	Offense/Charge	Arresting or charging agency, and location (city, county, state)	Disposition/current status (including date of disposition)

Have you ever been the subject of a grand jury or criminal investigation? Yes No If yes, provide complete details on a separate sheet of paper and submit as Exhibit 4(b).

SECTION 5 – LITIGATION HISTORY

Have you, as an individual, member of a partnership, or owner, director, or officer of a corporation, ever been involved in any civil lawsuit which was based in whole or part on allegations of conduct which would constitute a crime or would amount to fraud, deceit or misrepresentation? Yes No If yes, give details of all such cases below. If additional space is needed, attach additional sheets in the same format and submit as Exhibit 5.

Plaintiff/Defendant Claimant/Respondent	Date filed	Court case number	Location/Jurisdiction	Allegation(s)/claim(s) and disposition (including date of disposition)

SECTION 6 – EMPLOYMENT HISTORY

List all employment, self-employment, and unemployment for the last 10 consecutive years. List current employment first. If additional space is needed, attach additional sheets in the same format and submit as Exhibit 6.

Dates From – To	Title	Supervisor
Employer		Phone
Street Address	City/State/Zip Code	
Reason for Leaving		

Dates From – To	Title	Supervisor
Employer		Phone
Street Address	City/State/Zip Code	
Reason for Leaving		

Dates From – To	Title	Supervisor
Employer		Phone
Street Address	City/State/Zip Code	
Reason for Leaving		

SECTION 7 – EDUCATION

List all secondary and post-secondary schools which you have attended. If additional space is needed, attach additional sheets in the same format and submit as Exhibit 7.

Name of School	Location	Dates Attended	Degree/Major	Graduate
High School				Yes <input type="checkbox"/> No <input type="checkbox"/> Date graduated (mm/dd/yyyy)
College/ University/ Vocational				Yes <input type="checkbox"/> No <input type="checkbox"/> Date graduated (mm/dd/yyyy)
College/ University/ Vocational				Yes <input type="checkbox"/> No <input type="checkbox"/> Date graduated (mm/dd/yyyy)
Other				

SECTION 8 – RESIDENCE INFORMATION

Are you currently an Illinois Resident in that: (1) you are domiciled in Illinois or maintain a bona fide place of abode in Illinois; or (2) you are required to file an Illinois tax return during the taxable year? Yes No

List all places of residence for the last 10 consecutive years (including foreign residences). List current residence first. If additional space is needed, attach additional sheets in the same format and submit as Exhibit 8.

Dates From – To	Street Address
City/State/Country/Zip Code	Phone

Dates From – To	Street Address
City/State/Country/Zip Code	Phone

SECTION 9 – FINANCIAL INTEREST AND ASSIGNMENT

Has any of your interest in the Applicant or licensee for which this form is submitted been assigned or pledged to any person, firm, partnership or corporation, or has any agreement been entered into whereby your interest is to be assigned, pledged, or sold either in part or in whole? Yes No If yes, provide complete details on a separate sheet of paper, along with copies of all agreements, memoranda, and summary of all oral agreements relating to each assignment, pledge or sale, and submit as Exhibit 9(a).

Have you or an Affiliate given anything of value, including without limitation a finder’s fee or commission, to any Individual or Business Entity related to the solicitation of business from current or potential Licensed Video Gaming Locations? Yes No If yes, submit complete details on a separate sheet of paper as Exhibit 9(b) and include, at a minimum:

- (1) The names of all parties involved;
- (2) The material terms of all agreements or arrangements; and
- (3) The types and amounts of all things of value involved.

Except as otherwise disclosed in the Application with which this form is associated, do you or an Affiliate have any agreements or understandings, or an intent to enter into any agreement or understanding, with any Individual or Business Entity related to sharing or allotting any sums of money or anything of value derived from the Gaming Operation or proposed Gaming Operation with which this form is associated? Yes No If yes, submit complete details on a separate sheet of paper as Exhibit 9(c) and include, at a minimum:

- (1) The names of all parties involved;
- (2) The material terms of all agreements or understandings; and
- (3) The types and amounts of all things of value involved.

Have or will any funds be used, and have or will any liabilities be incurred by you or an Affiliate, in the acquisition of any direct or Indirect Interest in or to a Gaming Operation or any portion thereof that were made available to you through the efforts of anyone not otherwise disclosed in the Application with which this form is associated? Yes No If yes, submit complete details on a separate sheet of paper as Exhibit 9(d) and include, at a minimum:

- (1) The names of all parties involved; and
- (2) The types and amounts of all funds used or liabilities incurred.

SECTION 10 – TAXES

Do you owe any past due taxes, fees or obligations to a local, state or federal government? Yes No If yes, provide complete details on a separate sheet of paper and submit as Exhibit 10.

SECTION 11 – CERTIFICATION AND AUTHORIZATION

“I swear and certify under penalty of law that all answers and information provided by me in this Video Gaming Personal Disclosure Form are true, correct and complete to the best of my knowledge. I acknowledge that any misrepresentation, failure to reveal or update information or omission is grounds for denial of a license and/or revocation of any license for which this form is submitted or with which this form is associated. I acknowledge that any misrepresentation, failure to reveal or update information or omission is grounds for the Illinois Gaming Board to require my disassociation with any licensee or person for which this form is submitted or with which this form is associated. I hereby authorize the Illinois Gaming Board to investigate my criminal history, financial records and all other sources as necessary for licensing and/or my association with a licensee.”

Signature	Print Name	Date Signed (mm/dd/yyyy)
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YOUR SIGNATURE MUST BE NOTARIZED

SUBSCRIBED and SWORN to before me this

_____ day of _____, 20____

Notary Public

Notary Public in and for the

County of _____,

State of _____.

VIDEO GAMING PERSONAL DISCLOSURE FORM SCHEDULE OF EXHIBITS

(Print Name)

If an Exhibit is not applicable, indicate N.A.

Exhibit Number	Person who prepared or directed preparation of Exhibit (state which)	Official Title
1		
2(a)		
2(b)		
3(a)		
3(b)		
3(c)		
3(d)		
3(e)		
3(f)		
4(a)		
4(b)		
5		
6		
7		
8		
9(a)		
9(b)		
9(c)		
9(d)		
10		

REQUEST TO RELEASE INFORMATION

TO: _____

FROM: _____
(Print Name)

1. Undersigned hereby authorizes and requests all persons or entities to which this request is presented having information relating to or concerning undersigned to furnish such information to a duly appointed agent of the Illinois Gaming Board, whether or not such information would otherwise be protected from disclosure by any constitutional, statutory or other legal privilege.
2. Undersigned hereby authorizes and requests all persons or entities to which this request is presented having documents relating to or concerning undersigned to permit a duly appointed agent of the Illinois Gaming Board to review and copy any such documents, whether or not such documents would otherwise be protected from disclosure by any constitutional, statutory or other legal privilege.
3. If the person or entity to whom this request is presented is a brokerage firm, bank, savings and loan, or other financial institution or an officer of same, undersigned hereby authorizes and requests that a duly appointed agent of the Illinois Gaming Board be permitted to review and obtain copies of any and all documents, records or correspondence pertaining to undersigned, including but not limited to past loan information, notes co-signed by undersigned, checking account records, savings deposit records, safe deposit box records, passbook records, and general ledger folio sheets.
4. Undersigned hereby makes, constitutes, and appoints any duly appointed agent of the Illinois Gaming Board undersigned's true and lawful attorney in fact for undersigned in undersigned's name, place, stead, and on Undersigned's behalf and for undersigned's use and benefit:
 - (a) to request, review, copy, sign for, or otherwise act for investigative purposes with respect to documents and information in the possession of the person or entity to whom this request is presented as undersigned might;
 - (b) to name the person or entity to whom this request is presented and insert that person's or entity's name in the appropriate location on this request;
 - (c) to place the name of the Illinois Gaming Board agent presenting this request in the appropriate location on this request.
5. Undersigned grants to said attorney in fact full power and authority to do, take, and perform all and every act and thing whatsoever requisite, proper, or necessary to be done, in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as undersigned might or could do, with full power of substitution or revocation, hereby ratifying and confirming all that said attorney in fact, or his substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted.
6. This power of attorney ends eighteen (18) months from the date of execution or at the termination of all licenses issued relating to undersigned by the Illinois Gaming Board, whichever occurs later.

7. Undersigned has filed a Video Gaming Personal Disclosure Form with the Illinois Gaming Board in relation to an "Application." Undersigned understands that the Application is seeking the granting of a privilege and acknowledges that the burden of proving undersigned's qualifications for a favorable determination is at all times on undersigned. Undersigned accepts any risk of adverse public notice, embarrassment, criticism, or other action of financial loss, which may result from action with respect to this Application.
8. Undersigned does, for itself, its heirs, executors, administrators, successors and assigns, hereby release, remise, and forever discharge the person or entity to whom this request is presented, and his or its agents and employees from any and all manner of actions, causes of action, suits, debts, judgments, executions, claims, and demands whatsoever, known or unknown, in law or equity, which undersigned ever had, now has, may have, or claim to have against the person or entity to whom this request is presented or his or its agents or employees arising out of or by reason of complying with this request.
9. Undersigned agrees to indemnify and hold harmless the person or entity to whom this request is presented and his or its agents and employees from and against all claims, damages, losses, and expenses, including reasonable attorneys' fees arising out of or by reason of complying with this request.
10. A reproduction of this request by photocopy shall be for all intents and purposes as valid as the original.

IN WITNESS WHEREOF, I have executed this request at _____
 (City)

_____ on the _____ day of _____, 20____.
 (State)

 Signature

SUBSCRIBED and SWORN to before me this

_____ day of _____, 20____

Signature of Illinois Gaming Board
 Agent presenting this request:

 Notary Public

Notary Public in and for the

County of _____,

Date: _____

State of _____.

AFFIDAVIT OF FULL DISCLOSURE

State of _____)
) ss
County of _____)

I, _____, being first duly sworn upon oath or affirmation,
(Print Name)
depose and state:

that, except as has been reported in writing to the Illinois Gaming Board (“Board”), undersigned has no agreements or understandings with any person or entity and no present intent to hold as agent, nominee or otherwise any direct or indirect interest whatsoever in or to the licensed gaming operation or any portion thereof for which the Applicant associated with this Video Gaming Personal Disclosure Form (“Form”) seeks licensing by the Board;

that, except as has been reported in writing to the Board, undersigned has no agreements or understandings with any person or entity and no present intent to transfer at any future time any direct or indirect interest whatsoever in or to the licensed gaming operation or any portion thereof for which the Applicant associated with this Form seeks licensing by the Board;

that, except as reported in writing to the Board, undersigned has no agreements or understandings with any person or entity and no present intent to pay any sums of money or give anything of value as, including but without limitation, a finder's fee or commission to any person or entity related to any direct or indirect interest whatsoever in or to the licensed gaming operation or any portion thereof for which the Applicant associated with this Form seeks licensing by the Board;

that any funds used or to be used, and any liabilities incurred or to be incurred by undersigned in the acquisition of any direct or indirect interest in or to a licensed gaming operation or any portion thereof for which the Applicant associated with this Form seeks licensing by the Board were not provided to undersigned nor made available to undersigned through the efforts of anyone not disclosed to the Board;

that, except as reported in writing to the Board, no other person or entity has provided collateral for or guaranteed payment of any loans made to undersigned related to the Application associated with this Form for licensing by the Board.

I have read this Affidavit of Full Disclosure, understand its terms, and will be bound hereby.

IN WITNESS WHEREOF, I have executed this affidavit at _____,
(City)

_____ on the _____ day of _____, 20____.
(State)

(Signature)

SUBSCRIBED and SWORN to before me this

_____ day of _____, 20____

Notary Public

Notary Public in and for the

County of _____,

State of _____.

RELEASE OF ALL CLAIMS

The undersigned has filed with the Illinois Gaming Board ("Board") certain forms and documents, including but not limited to a Video Gaming Personal Disclosure Form, in connection with a license issued by the Board or an application for licensing by the Board. In consideration for the Board's deliberate and thorough investigation of my Video Gaming Personal Disclosure Form and the associated license issued by the Board or an application for such, the undersigned does for myself, my heirs, executors, administrators, successors and assigns, hereby release, remise, and forever discharge the State of Illinois, the Board, its members, agents, and employees, from any and all manner of actions, causes of action, suits, debts, judgments, executions, claims and demands whatsoever, known or unknown, in law or equity, which the undersigned ever had, now has, may have, or claim to have against any or all of said entities or individuals arising out of or by reason of the processing or investigation of or other action relating to my Video Gaming Personal Disclosure Form and the associated license issued by the Board or an application for such.

I, _____, have read this release and understand its terms. I
(Print Name)
execute this release with full knowledge that I will be bound hereby.

IN WITNESS WHEREOF, I have executed this release at _____,
(City)

_____ on the _____ day of _____, 20____.
(State)

(Signature)

SUBSCRIBED and SWORN to before me this

_____ day of _____, 20____

Notary Public

Notary Public in and for the

County of _____,

State of _____.

ACKNOWLEDGMENT

Undersigned acknowledges that any license or any interest in any license issued by the Illinois Gaming Board ("Board") does not create a property right, but a revocable privilege granted by the State, and that subsequent legislation, regulation or local laws may diminish the value of any license or any interest in any license issued by the Board. Undersigned acknowledges that a county or municipality may, pursuant to 230 ILCS 40/27 or any other applicable law, subsequently prohibit video gaming in its political subdivision within which any licensee or any interest in any licensee does business pursuant to a license issued by the Board, and that upon such event (1) any effected licensee shall cease and desist all video gaming operations in that political subdivision subject to the prohibition, and (2) all video gaming terminals in the political subdivision subject to the prohibition shall be immediately disabled by the Board.

Undersigned does for myself, my heirs, executors, administrators, successors, assigns, agents, beneficiaries, and any other person or entity that has or may have an interest in any license issued by the Board, hereby release and forever discharge the State of Illinois, the Board, its members, agents, and employees in their individual and official capacities, from any and all manner of actions, causes of action, suits, debts, judgments, executions, claims, and demands whatsoever, known or unknown, in law or equity, which undersigned ever had, now has, may have, or claim to have against any or all of said entities or individuals arising out of or relating to a political subdivision prohibiting video gaming pursuant to 230 ILCS 40/27 or any other applicable law.

Undersigned acknowledges that he or she is under a continuing duty to disclose promptly any changes in the information provided in the Application and additional information and materials submitted to the Illinois Gaming Board. The duty to make such additional disclosures shall continue throughout any period of licensure granted by the Illinois Gaming Board associated with this Video Gaming Personal Disclosure Form.

I, _____, have read this Acknowledgment and understand its
(Print Name)
terms. I execute this Acknowledgment with full knowledge that I will be bound hereby.

IN WITNESS WHEREOF, I have executed this Acknowledgment at _____,
(City)
_____ on the _____ day of _____, 20____.
(State)

(Signature)

SUBSCRIBED and SWORN to before me this

_____ day of _____, 20____

Notary Public
Notary Public in and for the County of _____,

State of _____.

**IMPORTANT – To Prevent Delays in Processing
Please Read and Follow These Instructions:
Instructions for 4506-T Request for Transcript of Tax Return**

Tax transcripts of your individual income tax returns are required for the previous four years by the Illinois Gaming Board in order to complete your application. The following two options are acceptable ways to obtain transcripts of your federal tax returns:

- 1) Tax transcripts may be viewed and printed instantaneously online at <http://www.irs.gov/Individuals/Get-Transcript> . You will be required to create an account with the IRS with a valid email address. You will need to provide your name, social security number, date of birth, filing status and the street address you provided on your last tax return you filed. You will also need to enter a few identity verification questions only you can answer, such as your previous address, mortgage information, etc.

-OR-

- 2) You can complete Form 4506-T Request for Transcript of Tax Return and fax the form to the IRS. This form is available from the IRS at <http://www.irs.gov/pub/irs-pdf/f4506t.pdf> . Please follow the following instructions when completing the form:
 - **Parts 1a & 1b:** Enter Name and Social Security Number
 - **Parts 2a and 2b:** Fill in Part 2a and 2b if any of the requested tax years include a joint filer.
 - **Part 3:** Enter your Current Address.
 - **Part 4:** Complete this section if any of the requested tax years were filed using a different address.
 - **Part 6:** Enter the tax form number.
 - **Part 6b:** The Illinois Gaming Board requires Account Transcripts. Check the box which corresponds to 6b.
 - **Part 9:** Enter the ending date of the last four years in **mm/dd/yyyy** format.
 - **SIGN and DATE** the Form – Note: **Only you need sign this Form.**

Any amendments or changes to the form which have been crossed-out will need to be initialed and dated.

Once you receive your tax transcripts, please mail or fax the transcripts to:

Illinois Gaming Board
Financial Analysis Unit
801 South Seventh St.
Suite 400 – South
Springfield, IL 62703

Fax: 217-524-0228